

Managing Frameworks and Controls in SimpleRisk

Introduction

This guide will cover creating, modifying, and deleting frameworks and controls. The ComplianceForge Secure Controls Framework that comes bundled with SimpleRisk will be covered in separate documentation. This guide will only cover the entry and management of user defined frameworks and controls.

This guide assumes you currently have 1) a functional SimpleRisk instance and 2) access to the user permissions that are a part of the Governance section in SimpleRisk.

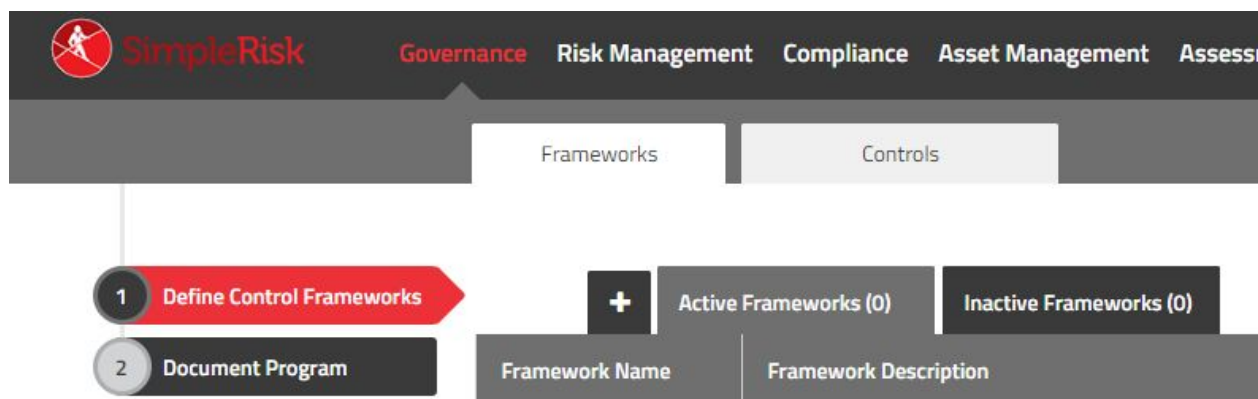
Managing Frameworks

This section will cover how to manage frameworks and requires the following user permissions to be enabled in your SimpleRisk user account:

- “Allow access to Governance”
- “Able to Add New Frameworks”
- “Able to Modify Existing Frameworks”
- “Able to Delete Existing Frameworks”

Creating Frameworks

To begin creating a Framework, navigate to the “Governance” menu at the top of any page while logged into SimpleRisk. You will then be presented with the “Define Control Frameworks” page and from here you are able to manage frameworks and controls. Below this screenshot are the steps to follow to create a new framework.



1) Click the “+” located to the right of “Define Control Frameworks” and a pop-up menu will be displayed, where the details of the framework can be entered.

2) From here, you will be required to give your framework a name.

3) You have the option of choosing a parent framework from the dropdown menu, but this is not required.



- 4) Define a description of the framework in the new “Framework Description” field.
- 5) To complete the process of adding a new framework, click the red “Add” button at the bottom right of the pop-up menu.

Modifying Frameworks

To begin modifying a Framework, navigate to the “Governance” menu at the top of any page while logged into SimpleRisk. You will then be presented with the “Define Control Frameworks” page and from here you are able to manage frameworks and controls. Below are the steps to follow to modify a framework.

- 1) Find the row containing the name of the framework you wish to modify.
- 2) In the row selected, click the “Edit” button located to the left of the “Trashcan” icon.
- 3) From here, you are able to modify any details you wish to change.
- 4) When you finish editing, click the red “Update” button to save the changes to the system.

Please note that frameworks may also be dragged and dropped between the “Active” and “Inactive” tabs to track and display which frameworks are currently available for use.

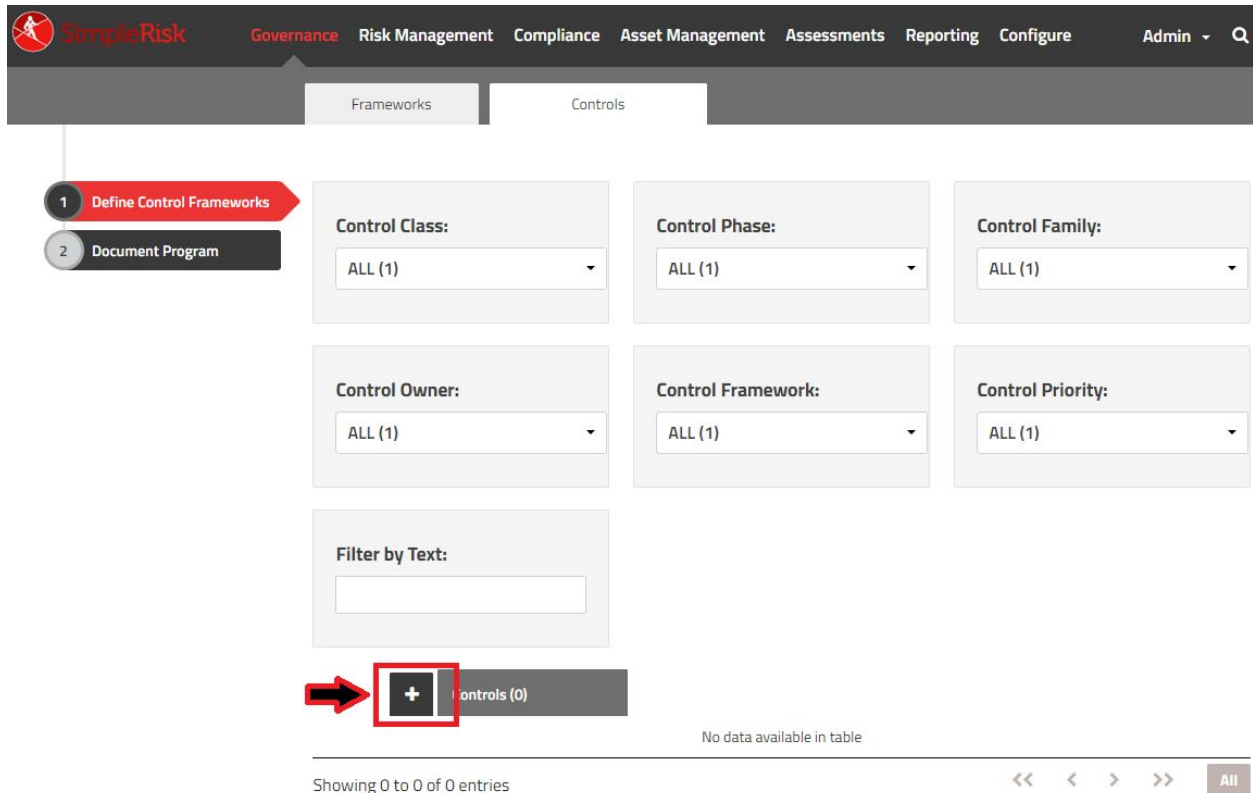
Deleting Frameworks

To delete frameworks from the system, navigate to the “Define Control Frameworks” page. From here, simply click the “Trashcan” icon in the row of the framework you wish to remove. To confirm deletion of the framework, you will need to click on the red “Yes” button on the pop-up menu.

Please note that deleting a framework will not delete any of the associated controls, but any control that references a previously deleted framework will no longer display that framework name.

Creating Controls

Next, we will cover how to create controls. Controls in SimpleRisk can be used for both mitigations and compliance audits, the latter of which will be covered in separate documentation. Below the screenshot are the steps required to create a new control in SimpleRisk.



- 1) Click the “+” next to the “Controls” tab as shown in the screenshot above.
- 2) On the resulting pop-up menu, you will now be able to fill out various details of the control. Please note that only the “Control Short Name” is required.
- 3) Assign a control framework using the “Control Frameworks” dropdown.
- 4) Assign any other details you wish to record in the system such as “Control Long Name,” “Control Description,” “Supplemental Guidance,” and “Control Number.”
- 5) If you need to add or change options to the dropdown choices (“Control Class,” “Control Phase,” “Control Priority,” and “Control Family”) navigate to the “Configure” menu at the top, followed by “Add and Remove Values” on the left. Near the bottom, the control dropdown fields will be displayed, where you can use the “Add/Remove/Modify” options to further customize the controls you have created.
- 5) Assigning a “Mitigation Percent,” will automatically apply the percentage entered to the Inherent Risk Score associated with a risk. Once the percentage has been applied to the Inherent Risk score, a Residual Risk score will automatically be calculated and displayed adjacent to the Inherent Risk score to show to what extent a given control will affect the mitigation.

Modifying Controls

To modify a control simply click the “Edit” button found in the top right of the “Control” box. The



SimpleRisk

“Edit” button is the first of the three small icons and from here, you are able to modify any of the details previously set in the control. Once you have completed the editing, you must save the changes to the system by selecting the “Update” button at the bottom of the pop-up menu.

Deleting Controls

Deleting controls in SimpleRisk is fairly straightforward. Simply navigate to the “Governance” menu at the top, click the “Controls” tab, then click the “Trashcan” icon located at the top right of the box that surrounds the control you would like to delete. You will then need to confirm the deletion on the resulting pop-up menu.

Summary

This guide has covered the creation, modification, and deletion of frameworks and controls in SimpleRisk. If you still have questions or something is not functioning as expected, please contact us at support@simplerisk.com